

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: TREMBLAY, Réjean et al.  
Serial number: 10/521,868  
Filing date: August 30, 2005  
For: PROCESS FOR INCREASING THE YIELD OF LIPID AND  
OMEGA-3 FATTY ACID IN SEAWEED CULTURE  
Art Unit: 1651  
Examiner: MARX, Irene  
Agent: David S. Resnick

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**DECLARATION UNDER 37 C.F.R. SEC. 1.132**

I, Réjean Tremblay, do hereby declare and state as follows:

1. I received the degrees of Bachelor of biology from the Université du Québec à Rimouski in 1989, Master of oceanography from the Université du Québec à Rimouski in 1997, and Doctor of Philosophy (Biology) from Université Laval in 1997.
2. I have been a Professor in Aquaculture at l'Institut des sciences de la mer de Rimouski since October of 2003.
3. I am an inventor in the present application; I have read and am thoroughly familiar with the contents of U.S. Patent Application Serial No. 10/521,868, entitled "PROCESS FOR INCREASING THE YIELD OF LIPID AND OMEGA-3 FATTY ACID IN SEAWEED CULTURE", including the claims.
4. I have also read and understood the latest Official Action from the PTO dated January 29, 2009.
5. The following experiments were performed under my supervision, to demonstrate that when diatomaceous algae are stimulated following the

teachings recited in U.S. Patent Application Serial No. 10/521,868, they will produce specifically polyunsaturated fatty acids (PUFAs) over other fatty acids.

Enrichment in polyunsaturated fatty acids following stress of silicate

200 liters of *Chaetoceros gracilis* were cultured in a photobioreactor as taught in U.S. Patent Application Serial No. 10/521,868. Lipid content of cells was evaluated as taught in U.S. Patent Application Serial No. 10/521,868, before and after a stress of silicate was applied. The growth-limiting factor was added to the culture at the end of the exponential growth phase at day 6 or 7 as illustrated in Figure 1 enclosed herewith.

Figure 1- Exponential growth curve of cells

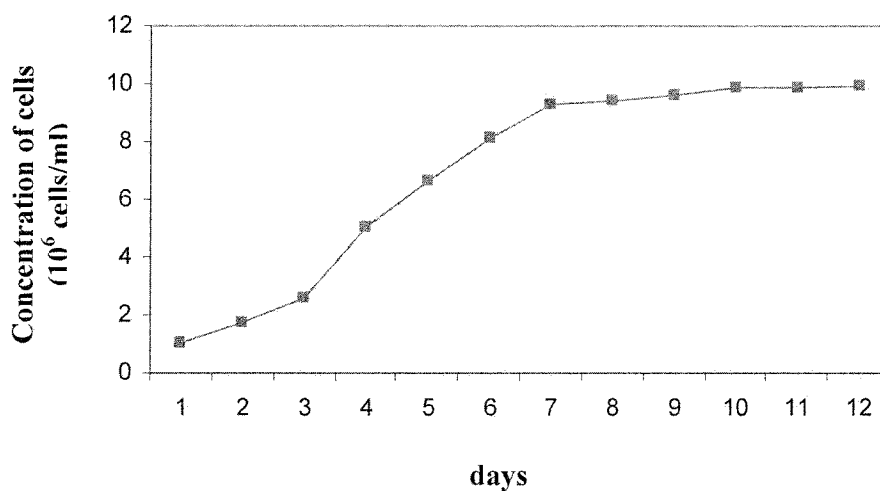


Table 1- % of fatty acids produce by a culture of *Chaetoceros gracilis* before and after stress.

<i>Chaetoceros gracilis</i>		
Fatty acids	Before stress	After stress
C12:0	0,84 ± 0,18	0,56 ± 0,14
C14:0	10,89 ± 4,19	12 ± 0,79
C15:0	1,04 ± 0,46	0,67 ± 0,07
C16:0	45,86 ± 9,09	16,71 ± 0,84
C17:0	0,67 ± 0,45	0,33 ± 0,02
C18:0	2,35 ± 0,95	1,27 ± 0,08
C20:0	0,89 ± 0,63	0,44 ± 0,03
C22:0	1,2 ± 0,85	0,64 ± 0,04
C24:0	1,02 ± 0,65	0,93 ± 0,14
<b>Σ saturated</b>	<b>64,8</b>	<b>33,6</b>
C18:1n-9	2,28 ± 0,37	2,71 ± 0,63
C20:1	0,72 ± 0,53	0,36 ± 0,02
C22:1n-9	0,41 ± 0,23	0,29 ± 0,01
<b>Σ MUFA</b>	<b>3,41</b>	<b>3,36</b>
C18:2n-6	1,05 ± 0,07	0,69 ± 0,1
C20:2	0,73 ± 0,19	0,4 ± 0,02
C18:3n-6	1,74 ± 0,8	1,62 ± 0,16
C20:3n-3	0,73 ± 0,33	0,38 ± 0,04
C20:3n-6	2,06 ± 0,32	0,28 ± 0,12
C20:4n-6	2,52 ± 1,27	8,19 ± 0,46
C20:5n-3	3,91 ± 2,6	16,58 ± 1,61
C22:6n-3	0,95 ± 1,17	2,2 ± 0,33
<b>Σ PUFA</b>	<b>13,7</b>	<b>30,3</b>

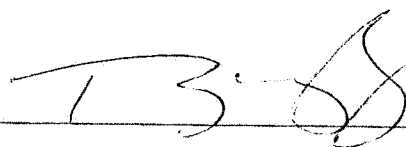
**Conclusion:** As demonstrated, an enrichment of PUFAs was observed in cultures of *Chaetoceros gracilis* after induced stress. Omega-3 and 6 for example were increased by a factor of 3, increasing from 10 to 30%. This enrichment was also seen for fatty acids which are considered essential (such as eicosapentaenoic acid (20:5n3 or EPA) increasing from 4 to 16%, and arachidonic acid (20:4n-6 or AA) increasing from 3 to 8%). All unsaturated fatty acids were not increased following stress.

- The results presented above and produced according to the teachings of the present application clearly indicate that *Chaetoceros gracilis*, when stimulated

following the teachings recited in U.S. Patent Application Serial No. 10/521,868, will produce specifically polyunsaturated fatty acids.

7. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true, and that these statements were made with the knowledge that willful false statements and the like so made are punishable by a fine or imprisonment, or both (18 U.S.C. Sec. 1001), and may jeopardize the validity of the application of any patent issuing thereon.

Signed



Date:

22 Jan 2009

Réjean Tremblay



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(19) **United States**(12) **Patent Application Publication**  
**Tremblay et al.**(10) **Pub. No.: US 2006/0099694 A1**(43) **Pub. Date: May 11, 2006**(54) **PROCESS FOR INCREASING THE YIELD  
OF LIPID AND OMEGA-3 FATTY ACID IN  
SEAWEED CULTURE**(75) Inventors: **Rejean Tremblay**, Grande-Riviere  
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QC (CA); **Universite Laval**, Quebec, QC  
(CA); **Rival S.E.C.**, Montreal, QC (CA)(21) Appl. No.: **10/521,868**(22) PCT Filed: **Jul. 22, 2003**(86) PCT No.: **PCT/CA03/01100**(30) **Foreign Application Priority Data**

Jul. 22, 2002 (CA) ..... 2,395,622

**Publication Classification**(51) **Int. Cl.**  
**C12P 7/64** (2006.01)  
**C12N 1/12** (2006.01)  
(52) **U.S. Cl.** ..... **435/134; 435/257.1**(57) **ABSTRACT**

The present invention relates to a new method for producing polyunsaturated fatty acids from algae. The method comprises the step of applying at least growth-limiting factor to an algae culture, causing growth arrest of said algae culture and production and stocking by algae in culture of polyunsaturated fatty acids.

# PROCESS FOR INCREASING THE YIELD OF LIPID AND OMEGA-3 FATTY ACID IN SEAWEED CULTURE

## BACKGROUND OF THE INVENTION

[0001] (a) Field of the Invention

[0002] The present invention relates to a new process for producing polyunsaturated fatty acid (PUFAs) and more particularly for producing omega-3.

[0003] (b) Background of the Invention

[0004] Microalgae, and more particularly those cultured in a mariculture, are often rich in PUFAs, among which the two most important species are eicosapentanoic acid (EPA) and docosahexanoic acid (DHA). Table 1 below shows concentrations of EPA and DHA of various species of microalgae maintained in standard culture.

TABLE 1

FATTY ACID OF VARIOUS SPECIES OF MICROALGAE		
	% Fatty acid	
	EPA	DHA
<u>Chrysophyceae</u>		
<i>Pseudopedinella</i>	27	1
<i>Circosphaera</i>	28	—
<i>Isochrysis</i>	—	15
<u>Xanthophyceae</u>		
<i>Nannochloris</i>	27	—
<u>Bacillariophyceae</u>		
<i>Nitzschia</i>	17	—
<i>Phaedactylum tricornutum</i>	28	—
<u>Rhodophyceae</u>		
<i>Porphyridium cruentum</i>	17	—
<u>Dinophyceae</u>		
<i>Amphidinium carterae</i>	20	24
<i>Ceratium furca</i>	7	21
<i>Cochlodinium</i> spp.	11	28
<i>Cryptocodinium cohnii</i>	—	30
<i>Gonyaulax</i> spp.	12–34	1–16
<i>Peridinium triquetum</i>	19	2
<i>Procentrum</i> spp.	15–32	3–5

References: W. Yongmanitchai and O. P. War (1989; Omega-3 fatty acids: alternative sources of production; Proc. Biochem 24: 117–125) and J. K. Volman et al. (1989; Fatty acid and lipid composition of 10 species of microalgae used in mariculture; J. Exp. Mar. Biol. Ecol. 128: 219–240)

[0005] Mariculture of microalgae for producing PUFAs has been set up originally with only those species that are known to be rich in fatty acid, such as *Cryptocodinium cohnii*.

[0006] Lipid content such as PUFAs of microalgae will vary depending on their culture conditions. However, the conditions that would be optimal for obtaining this concentration of fatty acid in algae are incomparable with those necessary for the growth of the algae in a culture. Accordingly, a culture of algae rich in a lipid such as a fatty acid can only be carried out at a low concentration.

[0007] Accordingly, it would be advantageous to be provided with a process for producing PUFAs at a high con-

centration, allowing for reduction of the culture volume for obtaining the same yield of PUFAs.

## SUMMARY OF THE INVENTION

[0008] One aim of the present invention is to provide a new process for producing PUFAs, and to obtain a high concentration of a lipid.

[0009] In accordance with the present invention, there is provided a process for producing PUFAs by blocking cell division, and thus culture growth, allowing to obtain a lipid-rich culture.

[0010] Still in accordance with the present invention, there is provided a method for producing polyunsaturated fatty acids from algae, comprising the step of applying at least growth-limiting factor to an algae culture, causing division arrest of said algae culture and production and stocking by algae in culture of polyunsaturated fatty acids.

[0011] The growth-limiting factor may be for example silicate deprivation other nutrient deprivation or physical factors such as light intensity for example. In one embodiment of the invention, more than one growth-limiting factor can be applied either simultaneously or concurrently. Preferred algae for carrying out the method of the present invention are diatomaceous *Chaetoceros gracilis* or diatomaceous *Skeletonema costatum*.

[0012] In one embodiment of the invention, the growth-limiting factor is applied at the end of the exponential growth phase, and preferably when the algae culture has reached a concentration of at least  $10^7$  cells/mL. Blocking cell division of the algae in culture (and thus growth of the culture) at that specific point in time allows obtaining algae that are rich in PUFAs, and more particularly in omega-3 fatty acid.

## DETAILED DESCRIPTION OF THE INVENTION

[0013] Algae are cultured in a semi-continuous process at a temperature, a pH and illumination conditions adapted for their growth. More particularly, the algae are preferably cultured at a temperature of 18 to 20° C., a pH of 7.5 to 8.0 and lighting condition from only one side of the culture flask. The light was provided by Cool-white™ and Growlite™ fluorescent lights at an intensity varying from 60 to 250  $\mu\text{E s}^{-1} \text{ m}^{-2}$ . The photoperiod has a 16-hour lighting cycle followed by 8 hours of darkness. Water used for the cultures was filtered at 1  $\mu\text{m}$  and pasteurized at 80° C.

[0014] In preliminary testing, 2-3 ml of original algae inoculums were added to 125 ml erlenmeyers containing 75 ml of f/2 culture medium (Guillard, R., 1975; *Culture of phytoplankton for feeding marine invertebrates*. In: Smith, W. L., Chanley, M. H. (Eds.), *Culture of marine invertebrates animals*. Plenum Press, New York, pp. 29-60). Seven days after inoculation, the content of the erlenmeyers was transferred in a 500-ml erlenmeyers container containing 300 ml of f/2 culture media. Five days later, the content of the 500-ml erlenmeyers was transferred into a 20-litre culture bottle. During the cultures in 125 and 500 ml erlenmeyers, no specific additional element or nutrient has been added to the cultures.

[0015] In the 20-litre culture bottle, 8 ml of f/2 culture media was added with 18 litres of water. After two days, 4

ml of silicate was added and, after three additional days, the content of the 20-litre culture bottle was transferred to a 7-foot high, 170-litre culture tube. 62 ml of f/2 culture media and 31 ml of silicate were then added to the tubes which were then filled up with water. Nutrients, with or without silicate, according to the species grown, were added every other day. In the 20-litre and 170-litre culture bottle or tubes, filtered air and CO<sub>2</sub> is added at a rate of 0.2 to 0.3 L/min.

[0016] After 6-7 days of incubation in the 170-litre tube, the algae cultures were at the end of their exponential growth phase, and have thus attained a maximum concentration. Only by the end of the exponential growth phase were the algae stressed by depriving them of nutrients in order to modify/alter their metabolism. The algae, in reaction to the stress, stop dividing and start stocking up lipids, mostly PUFAs. The exact nature of the nutritional or environmental stress imposed on the algae will depend on the species being cultured. For certain species, concentrations of PUFAs were almost doubled when compared to identical algae cultures that were not nutrient-deprived.

[0017] In accordance with the present invention, it was found that imposing stress on the algae culture would cause the algae to stop growing and to start stocking up lipids, mostly PUFAs. Various types of stress could be imposed on the algae culture, such as nutritional stress during which the cell culture is deprived of nutrients, or environmental stress during which the pH and/or lighting conditions are modified so as to cause the algae to stop growing/dividing. Preferably, stress is imposed on the algae once these have completed their exponential growth phase, at which time the concentration of algae in the culture is optimal. One skilled in the art will have no difficulty understanding that in order to obtain as much lipid as possible, it is thus desirable to have a maximum concentration of algae that would, in turn, produce a maximum concentration of lipid. However, in the present invention, it is demonstrated that nutrient depriving or otherwise stressing an algae culture will cause the algae to stop growing/dividing and start stocking up lipids.

[0018] In accordance with the present invention, various species of algae have been tested and it has been shown that the method of the present invention does indeed apply and that lipid rich algae cultures are obtainable. However, one skilled in the art will well appreciate that various algae species can have the same modification in the metabolic process, i.e. cell division arrest, that would provide the same significant increase in PUFAs.

[0019] The present invention will be more readily understood by referring to the following examples which are given to illustrate the invention rather than to limit its scope.

#### EXAMPLE 1

[0020] Diatomaceous *Chaetoceros gracilis* was cultured in a semi-continuous system of 170 litres, at concentrations of more than 10<sup>7</sup> cells/ml. Some of the tubes were supplemented with complete nutrients whereas other tubes were silicate deprived. The results as reported in Table 2 hereinbelow show the distribution of fatty acids according to the treatment.

TABLE 2

CONCENTRATION OF VARIOUS FATTY ACIDS OBTAINED IN DIFFERENT CULTURE CONDITIONS		
	With silicate %	Without silicate %
20:5n3	8.9	30.2
22:6n3	3.9	8.5
Total PUFA	33.1	50.0
Total n3	21.1	34.9

[0021] The analysis of the culture condition was carried out 7 days after the stress (silicate deprivation) was initiated.

#### EXAMPLE 2

[0022] Diatomaceous *Skeletonema costatum* was cultured in a semi-continuous system of 170 litres. Some of the tubes were deprived in silicate whereas other tubes were maintained with the complete nutrients. The results represented in Table 3 hereinbelow show the distribution of various fatty acids according to the stress imposed.

TABLE 3

DISTRIBUTION OF VARIOUS FATTY ACIDS IN RESPONSE TO SILICA DEPRIVATION		
	With silicate %	Without silicate %
20:5n3	16.	37.6
22:6n3	5.5	7.54
Total PUFA	41.0	59.9
Total n3	24.6	42.0

[0023] Here again, the analysis of the culture condition was carried out 7 days after the silicate deprivation was initiated.

[0024] The above examples were given here to demonstrate and not to limit the present invention. It is being demonstrated herein that, in accordance with the present invention, it was possible to increase the yield in lipids and more particularly in PUFAs and omega-3, upon stressing an algae culture causing its division arrest, and thus its growth decrease. With the stress, unicellular cells of microalgae decrease the division and increase the lipid yield.

[0025] While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the art to which the invention pertains and as may be applied to the essential features hereinbefore set forth, and as follows in the scope of the appended claims.

What is claimed is:

1. A method for producing polyunsaturated fatty acids from diatomaceous *Chaetoceros gracilis*, comprising the step of applying at least one growth-limiting factor to a diatomaceous *Chaetoceros gracilis* culture at the end of the

exponential growth phase, causing growth arrest of said culture and production and stocking by algae in culture of polyunsaturated fatty acids.

2. A method for producing polyunsaturated fatty acids from diatomaceous *Skeletonema costatum*, comprising the step of applying at least one growth-limiting factor to a diatomaceous *Skeletonema costatum* culture at the end of the exponential growth phase, causing growth arrest of said culture and production and stocking by algae in culture of polyunsaturated fatty acids.

3. The process of claim 1, wherein the growth-limiting factor is silicate deprivation.

4. The process of claim 1, wherein the growth-limiting factor is a nutrient deprivation.

5. The process of claim 1, wherein more than one growth-limiting factor is applied.

6. The process of claim 1, wherein the growth limiting factor is applied once the culture has reached a concentration of at least  $10^7$  cells/mL.

7. The process of claim 2, wherein the growth-limiting factor is silicate deprivation.

8. The process of claim 2, wherein the growth-limiting factor is a nutrient deprivation.

9. The process of claim 2, wherein more than one growth-limiting factor is applied.

10. The process of claim 2, wherein the growth limiting factor is applied once the culture has reached a concentration of at least  $10^7$  cells/mL.

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